



# Effect of Gas Flow Rate and Gas Composition in Ar/CH<sub>4</sub> Inductively Coupled Plasmas

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1. Plasma processing and nonequilibrium discharge
2. Plasma simulation using COMSOL Multiphysics
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## Plasma processing and nonequilibrium discharge (1)

Plasma processing has been used for fabricating semiconductors. In order to make a hyperfine feature on the wafer, a high aspect-ratio etching is needed.



The energy of ions incident on the wafer must be controlled to realize an accurate and reliable processing.



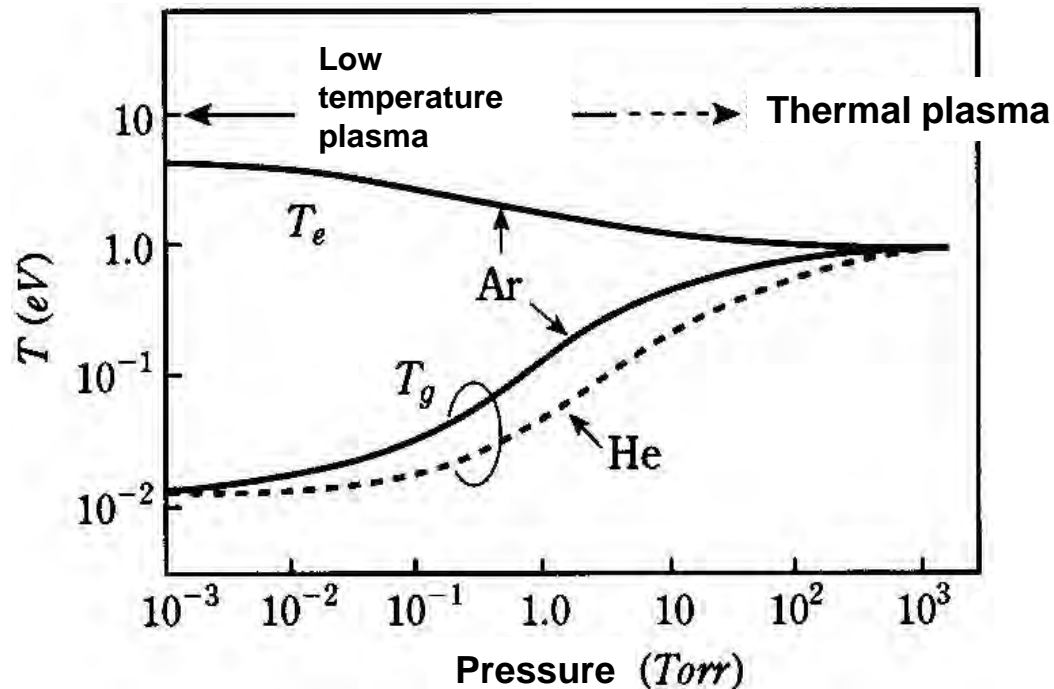
Low gas pressure in etching



Nonequilibrium discharge

## Plasma processing and nonequilibrium discharge (2)

- For low pressure discharges the plasma is not in thermal equilibrium.
- In the bulk plasma, the electron temperature  $T_e$  greatly exceeds the ion temperature  $T_i$  and neutral gas temperature  $T_g$ .



## Types of plasma involved in COMSOL Multiphysics

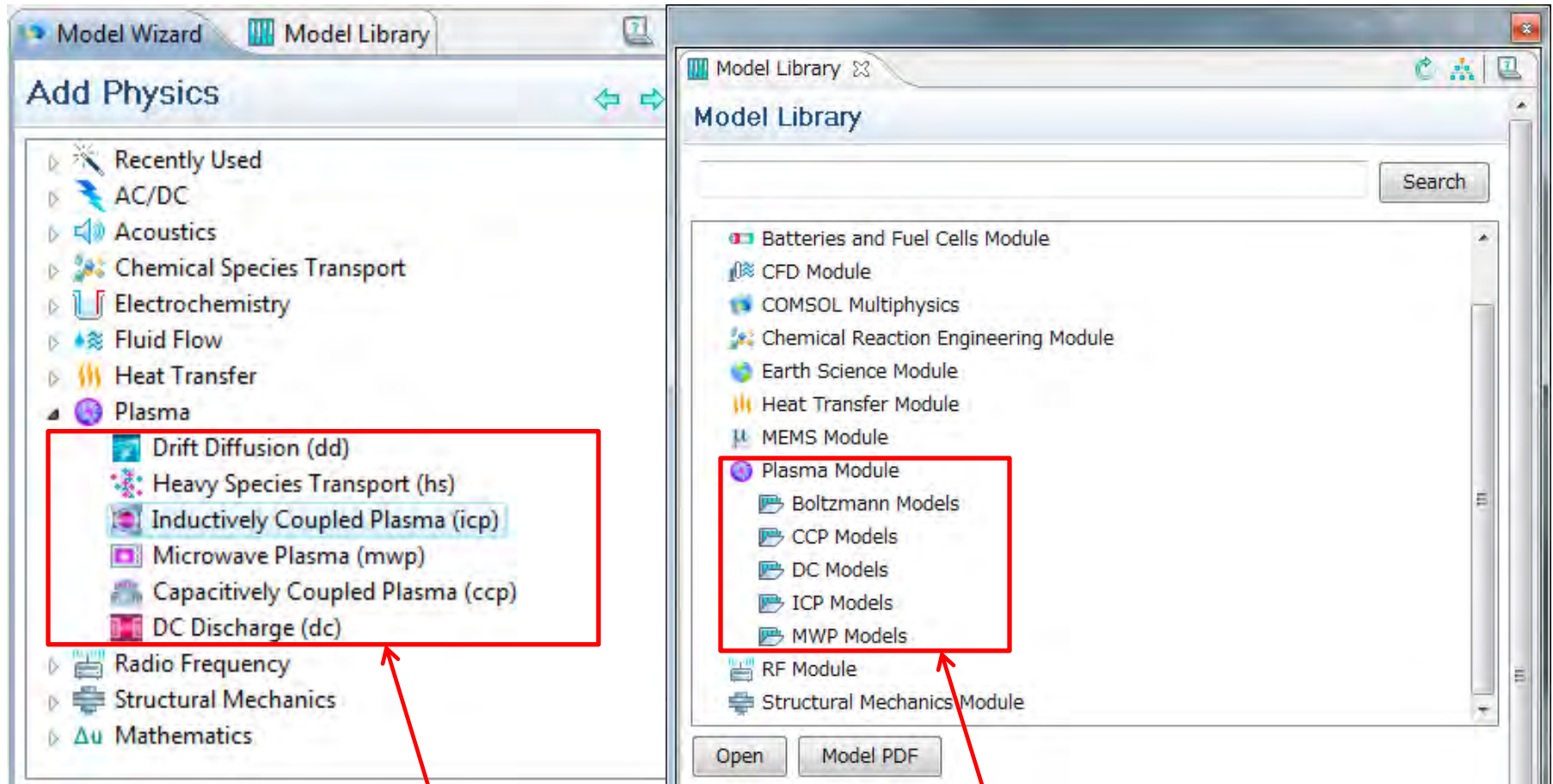
The common types of plasma:

- Inductively coupled plasma (ICP)
- DC discharge
- Microwave plasma
- Electrical breakdown
- Capacitively coupled plasma (CCP)
- Combined ICP/CCP reactor

## Plasma module physics interfaces

- The drift diffusion interface
- The heavy species transport interface
- The Boltzmann equation, Two-term approximation interface
- The inductively coupled plasma interface (ICP)
- The microwave plasma interface
- The capacitively coupled plasma interface (CCP)
- The DC discharge interface

# Plasma module format in COMSOL Multiphysics

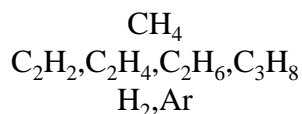


Plasma interfaces

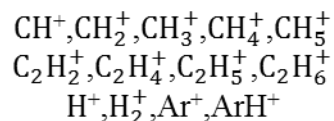
Plasma model library

# Plasma chemistry (1)

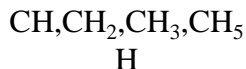
## Neutrals (7)



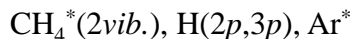
## Ions (13)



## Radicals (5)



## Excited species (5)



## Electron reactions included in the model

No.	Reaction
1	Ar + e <sup>-</sup> → Ar <sup>*</sup> + e <sup>-</sup>
2	Ar <sup>*</sup> + e <sup>-</sup> → Ar + e <sup>-</sup>
3	Ar + e <sup>-</sup> → Ar <sup>+</sup> + 2e <sup>-</sup>
4	Ar <sup>*</sup> + e <sup>-</sup> → Ar <sup>+</sup> + 2e <sup>-</sup>
5	CH <sub>4</sub> + e <sup>-</sup> → CH <sub>4</sub> <sup>*</sup> + e <sup>-</sup> (2 vib.)
6	CH <sub>4</sub> + e <sup>-</sup> → CH <sub>4</sub> <sup>+</sup> + 2e <sup>-</sup>
7	CH <sub>4</sub> + e <sup>-</sup> → CH <sub>3</sub> <sup>+</sup> + H + 2e <sup>-</sup>
8	CH <sub>4</sub> + e <sup>-</sup> → CH <sub>3</sub> + H + e <sup>-</sup>
9	CH <sub>4</sub> + e <sup>-</sup> → CH <sub>2</sub> + 2H + e <sup>-</sup>
10	CH <sub>4</sub> + e <sup>-</sup> → CH + 3H + e <sup>-</sup>
11	CH <sub>4</sub> + e <sup>-</sup> → CH + 3H + e <sup>-</sup>
12	H <sub>2</sub> + e <sup>-</sup> → H <sub>2</sub> <sup>+</sup> + 2e <sup>-</sup>
13	H <sub>2</sub> + e <sup>-</sup> → 2H + e <sup>-</sup>
14	H + e <sup>-</sup> → H(2p, 3p) + e <sup>-</sup>
15	H(2p, 3p) + e <sup>-</sup> → H + e <sup>-</sup>
16	H + e <sup>-</sup> → H <sup>+</sup> + 2e <sup>-</sup>
17	C <sub>2</sub> H <sub>2</sub> + e <sup>-</sup> → C <sub>2</sub> H <sub>2</sub> <sup>+</sup> + 2e <sup>-</sup>
18	C <sub>2</sub> H <sub>4</sub> + e <sup>-</sup> → C <sub>2</sub> H <sub>2</sub> + 2H + e <sup>-</sup>
19	C <sub>2</sub> H <sub>4</sub> + e <sup>-</sup> → C <sub>2</sub> H <sub>4</sub> <sup>+</sup> + 2e <sup>-</sup>
20	C <sub>2</sub> H <sub>5</sub> + e <sup>-</sup> → C <sub>2</sub> H <sub>4</sub> + H + e <sup>-</sup>
21	C <sub>2</sub> H <sub>5</sub> + e <sup>-</sup> → C <sub>2</sub> H <sub>5</sub> <sup>+</sup> + 2e <sup>-</sup>
22	C <sub>2</sub> H <sub>5</sub> + e <sup>-</sup> → C <sub>2</sub> H <sub>4</sub> <sup>+</sup> + H + 2e <sup>-</sup>
23	C <sub>2</sub> H <sub>6</sub> + e <sup>-</sup> → C <sub>2</sub> H <sub>5</sub> + H + e <sup>-</sup>
24	C <sub>2</sub> H <sub>6</sub> + e <sup>-</sup> → C <sub>2</sub> H <sub>4</sub> + 2H + e <sup>-</sup>
25	C <sub>2</sub> H <sub>6</sub> + e <sup>-</sup> → C <sub>2</sub> H <sub>6</sub> <sup>+</sup> + 2e <sup>-</sup>
26	C <sub>2</sub> H <sub>6</sub> + e <sup>-</sup> → C <sub>2</sub> H <sub>5</sub> <sup>+</sup> + H + 2e <sup>-</sup>
27	CH <sub>3</sub> + e <sup>-</sup> → CH <sub>2</sub> + H + e <sup>-</sup>
28	CH <sub>3</sub> + e <sup>-</sup> → CH + 2H + e <sup>-</sup>
29	CH <sub>3</sub> + e <sup>-</sup> → CH <sub>3</sub> <sup>+</sup> + 2e <sup>-</sup>
30	CH <sub>2</sub> + e <sup>-</sup> → CH + H + e <sup>-</sup>
31	CH <sub>2</sub> + e <sup>-</sup> → CH <sub>2</sub> <sup>+</sup> + 2e <sup>-</sup>
32	CH + e <sup>-</sup> → CH <sup>+</sup> + 2e <sup>-</sup>
33	ArH <sup>+</sup> + e <sup>-</sup> → Ar + H



# Plasma chemistry (2)

## Reactions of ion and neutral species

No.	Reaction
34	$\text{CH}_4 + \text{CH}_3^+ \rightarrow \text{CH}_4^+ + \text{CH}_3$
35	$\text{CH}_4 + \text{CH}_3^+ \rightarrow \text{C}_2\text{H}_5^+ + \text{H}_2$
36	$\text{CH}_4 + \text{CH}_4^+ \rightarrow \text{CH}_5^+ + \text{CH}_3$
37	$\text{H}_2 + \text{CH}_4^+ \rightarrow \text{CH}_5^+ + \text{H}$
38	$\text{C}_2\text{H}_6 + \text{CH}_5^+ \rightarrow \text{C}_2\text{H}_5^+ + \text{CH}_4 + \text{H}_2$
39	$\text{CH}_4 + \text{Ar}^+ \rightarrow \text{CH}_3^+ + \text{H} + \text{Ar}$
40	$\text{H}_2 + \text{Ar}^+ \rightarrow \text{ArH}^+ + \text{H}$
41	$\text{H}_2 + \text{Ar}^+ \rightarrow \text{Ar} + \text{H}_2^+$

## Reactions among neutral species

No.	Reaction
42	$\text{CH}_3 + \text{CH}_3 \rightarrow \text{C}_2\text{H}_6$
43	$\text{CH}_3 + \text{H} \rightarrow \text{CH}_4$
44	$\text{C}_2\text{H}_5 + \text{H} \rightarrow \text{CH}_3 + \text{CH}_3$
45	$\text{C}_2\text{H}_5 + \text{CH}_3 \rightarrow \text{C}_3\text{H}_8$
46	$\text{CH}_2 + \text{H} \rightarrow \text{CH} + \text{H}_2$
47	$\text{CH} + \text{CH}_4 \rightarrow \text{C}_2\text{H}_5$
48	$\text{CH}_2 + \text{CH}_4 \rightarrow \text{CH}_3 + \text{CH}_3$
49	$\text{CH}_2 + \text{CH}_4 \rightarrow \text{C}_2\text{H}_4 + \text{H}_2$
50	$\text{CH}_4 + \text{CH} \rightarrow \text{C}_2\text{H}_4 + \text{H}$
51	$\text{CH}_3 + \text{CH}_2 \rightarrow \text{C}_2\text{H}_4 + \text{H}$
52	$\text{C}_2\text{H}_5 + \text{H} \rightarrow \text{C}_2\text{H}_4 + \text{H}_2$
53	$\text{CH}_2 + \text{CH}_2 \rightarrow \text{C}_2\text{H}_2 + \text{H}_2$
54	$\text{Ar}^* + \text{Ar}^* \rightarrow \text{Ar}^+ + \text{Ar} + e^-$
55	$\text{Ar}^* + \text{Ar} \rightarrow \text{Ar} + \text{Ar}$
56	$\text{Ar}^* + \text{H}_2 \rightarrow \text{Ar} + \text{H} + \text{H}$

# Electron transport

COMSOL Multiphysics solves a pair of drift diffusion equation for the electron density and electron energy density.

$$\frac{\partial}{\partial t}(n_e) + \nabla \cdot \Gamma_e = R_e$$

$$\Gamma_e = -n_e(\mu_e \mathbf{E}) - D_e \nabla n_e$$

$$\frac{\partial}{\partial t}(n_\varepsilon) + \nabla \cdot \Gamma_\varepsilon + \mathbf{E} \cdot \Gamma_e = R_\varepsilon$$

$$\Gamma_\varepsilon = -n_\varepsilon(\mu_\varepsilon \mathbf{E}) - D_\varepsilon \nabla n_\varepsilon$$

Source term

$$R_e = \sum_{j=1}^M x_j k_j N_n n_e$$

Source term

$$R_\varepsilon = \sum_{j=1}^P x_j k_j N_n n_e \Delta \varepsilon_j$$

Rate coefficient  $k_j = \gamma \int_0^\infty \varepsilon \sigma_j(\varepsilon) f(\varepsilon) d\varepsilon$

$$\gamma = (2q/m)^{1/2}$$

# Electron transport boundary conditions

- There are a variety of boundary conditions available for the electrons:
  - Wall which includes the effects of :
    - Secondary electron emission
    - Thermionic emission
    - Electron reflection
  - Flux which allows you to specify an arbitrary influx for the electron density and electron energy density.
  - Fixed electron density and mean electron energy
  - Insulation

## Heavy species transport

- Transport of the heavy species (non-electron species) is determined from solving a modified form of the Maxwell-Stefan equations :

$$\rho \frac{\partial}{\partial t} (w_k) + \rho (\mathbf{u} \cdot \nabla) w_k = \nabla \cdot \mathbf{j}_k + R_k$$

where

$$\mathbf{j}_k = \rho \omega_k \mathbf{V}_k$$
$$\mathbf{V}_k = \sum_{j=1}^q \tilde{D}_{kj} \mathbf{d}_k - \frac{D_k^T}{\rho \omega_k} \nabla \ln T$$
$$\mathbf{d}_k = \frac{1}{cRT} \left[ \nabla p_k - \omega_k \nabla p - \rho_k \mathbf{g}_k + \omega_k \sum_{j=1}^q \rho_j \mathbf{g}_j \right]$$

- The multiphysics interfaces contain an integrated reaction manager to keep track of the electron impact reactions, reactions, surface reactions and species.

# Gas flow transport

- The neutral gas flow is determined by the Navier-stokes equations :

Conservation of mass  $\frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \mathbf{u}) = 0$

Conservation of momentum

$$\rho \frac{\partial \mathbf{u}}{\partial t} + \rho (\mathbf{u} \cdot \nabla) \mathbf{u} = \nabla \cdot [-p \mathbf{I} + \boldsymbol{\tau}] + \mathbf{F}$$

where

$$\boldsymbol{\tau} = 2\mu \mathbf{S} - \frac{2}{3} \mu (\nabla \cdot \mathbf{u}) \mathbf{I}$$

$$\mathbf{S} = \frac{1}{2} (\nabla \mathbf{u} + (\nabla \mathbf{u})^T)$$

## Electrostatic field

- The plasma potential is computed from Poisson's equation:

$$-\nabla \cdot \epsilon_0 \epsilon_r \nabla V = \rho$$

- The space charge is computed from the number densities of electrons and other charged species.

$$\rho = q \left( \sum_{k=1}^N Z_k n_k - n_e \right)$$

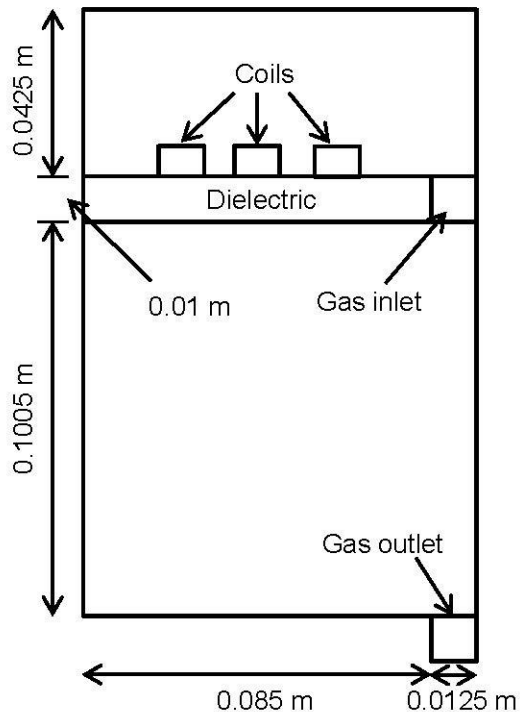
## Electromagnetic field

- For inductive discharges we solve the magnetic field in the frequency domain:

$$(j\omega\sigma - \omega^2 \epsilon_0 \epsilon_r) \mathbf{A} + \nabla \times (\mu_0^{-1} \nabla \times \mathbf{A}) = \mathbf{J}^e$$

# Ar/CH<sub>4</sub> ICP plasma model (1)

## ICP plasma model

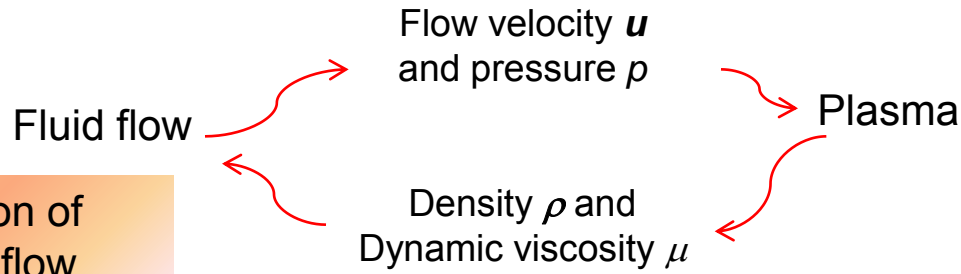


## Computational conditions

- Gas: Ar/CH<sub>4</sub> mixtures
- RF frequency: 13.56 MHz
- Operating pressure: 20 mTorr
- Temperature: 300 K
- Input power: 300 W
- Fluid flow: laminar
- Gas flow rate: 20–1000 sccm
- Ar fractions: 0–1
- EEDF (Electron energy distribution function):  
Druyvesteynian

# Ar/CH<sub>4</sub> ICP plasma model (2)

Coupled simulation of plasma and fluid flow



**Settings**

- Fluid Properties
- Domain Selection: All domains
- 1
- 2 (not applicable)
- 3 (not applicable)
- 4 (not applicable)
- 5 (not applicable)
- 6 (not applicable)
- Override and Contribution
- Equation: Show equation assuming: Study 1, Stationary
- $$\rho(\mathbf{u} \cdot \nabla)\mathbf{u} = \nabla \cdot [-p\mathbf{I} + \mu(\nabla\mathbf{u} + (\nabla\mathbf{u})^T) - \frac{2}{3}\mu(\nabla \cdot \mathbf{u})\mathbf{I}] + \mathbf{F}$$
- $$\nabla \cdot (\rho\mathbf{u}) = 0$$
- Model Inputs
- Fluid Properties
  - Density:  $\rho$  Density (icp/pem1)
  - Dynamic viscosity:  $\mu$  Dynamic viscosity (icp/pem1)

**Settings**

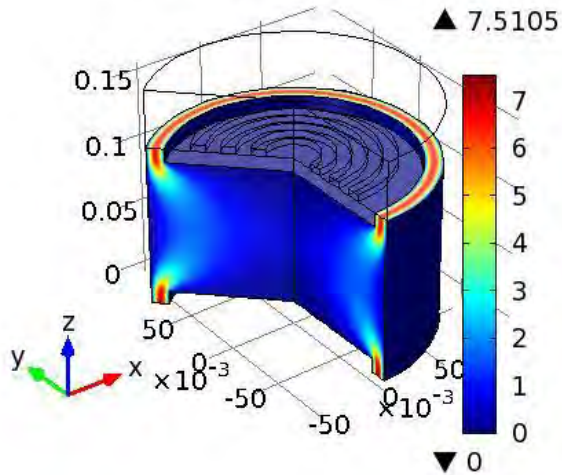
- Plasma Model
- Domain Selection: All domains
- 1
- 2 (overridden)
- 3 (overridden)
- 4 (overridden)
- 5 (overridden)
- 6 (overridden)
- Override and Contribution
- Equation
- Model Inputs
  - Velocity field:  $\mathbf{u}$  Velocity field (spf/fp1)
  - Temperature: T User defined, T0 K
  - Absolute pressure:  $p$  Pressure (spf/fp1)
  - $p_A = p$
  - Reference pressure



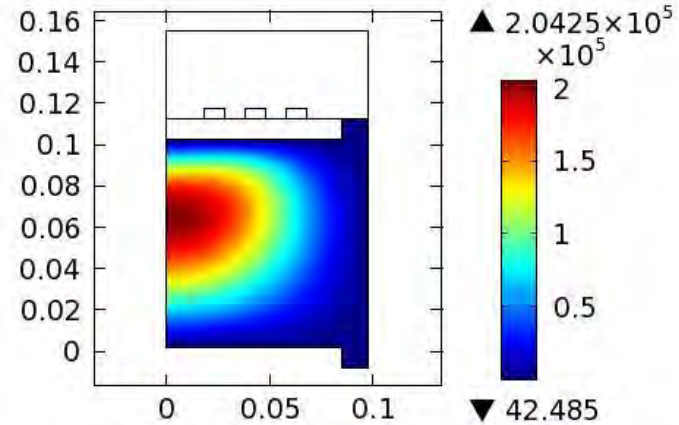
# Results (1)

Discharge structure in a 95%Ar/5%CH<sub>4</sub> ICP plasma at a gas flow of 50 sccm

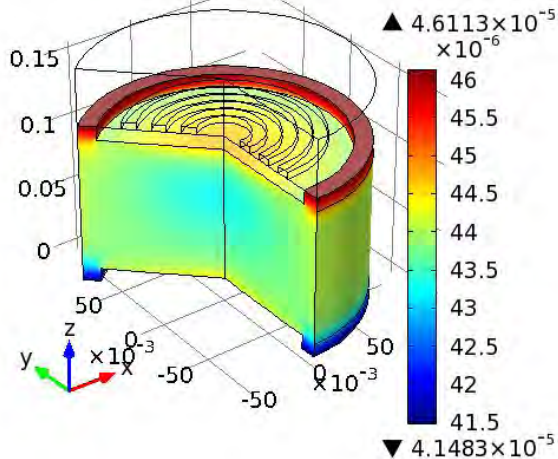
Velocity magnitude (m/s)



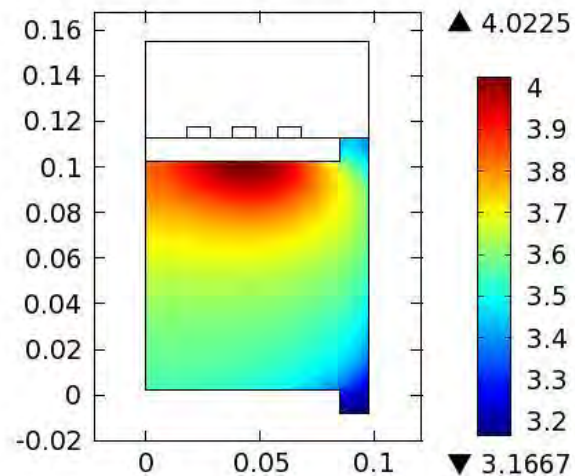
Collisional power loss (W/m<sup>3</sup>)



Density (kg/m<sup>3</sup>)



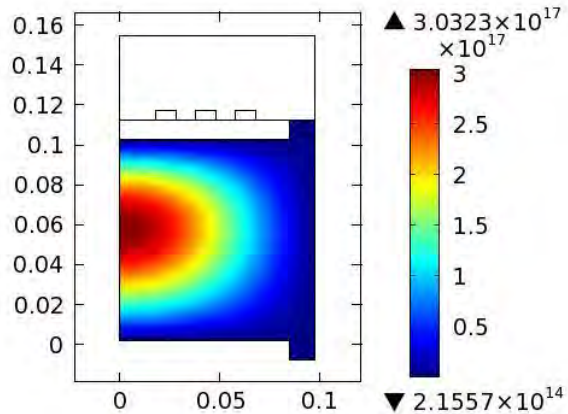
Electron temperature (V)



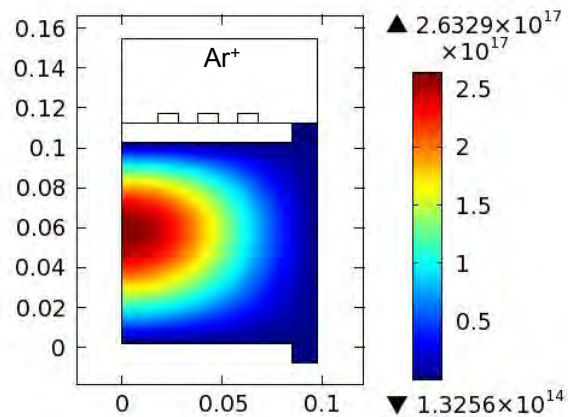
# Results (2)

## Electron and ion densities

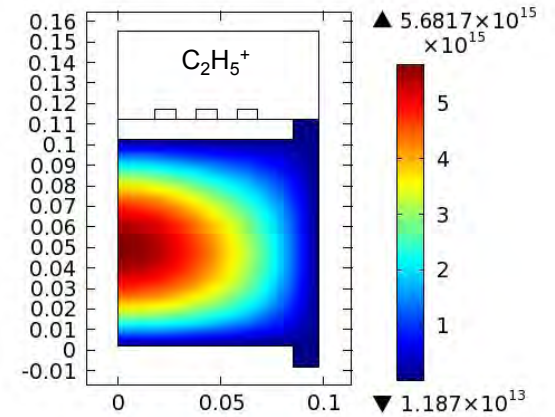
Electron density ( $1/m^3$ )



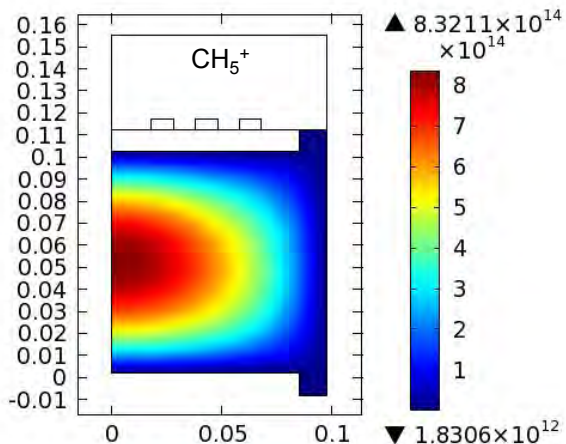
Number density ( $1/m^3$ )



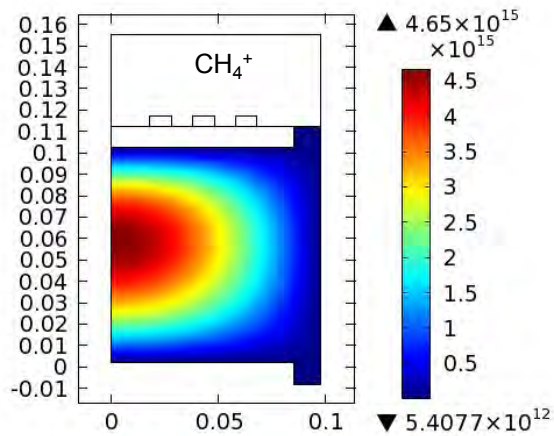
Number density ( $1/m^3$ )



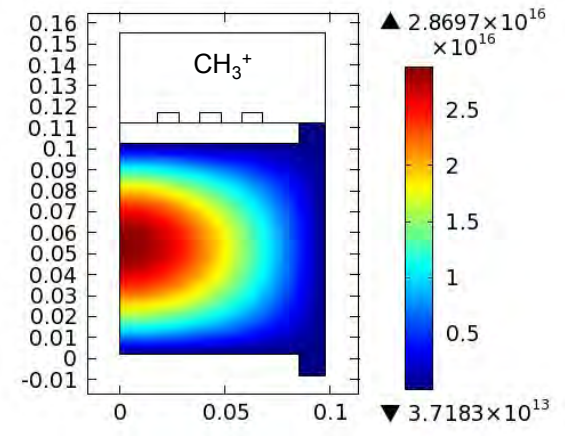
Number density ( $1/m^3$ )



Number density ( $1/m^3$ )

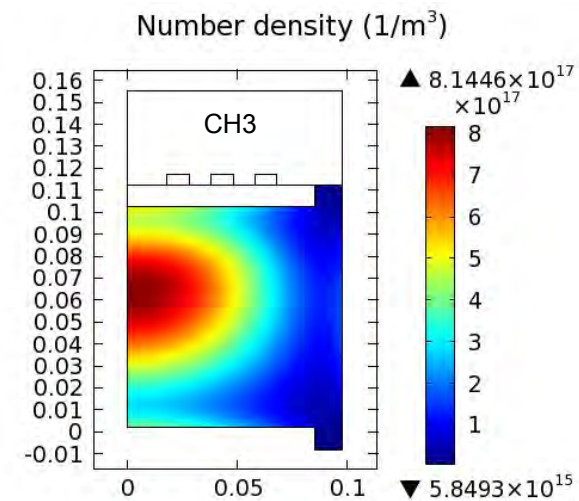
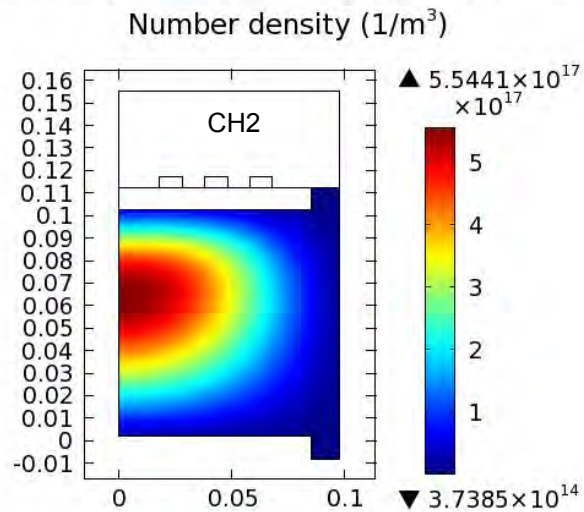
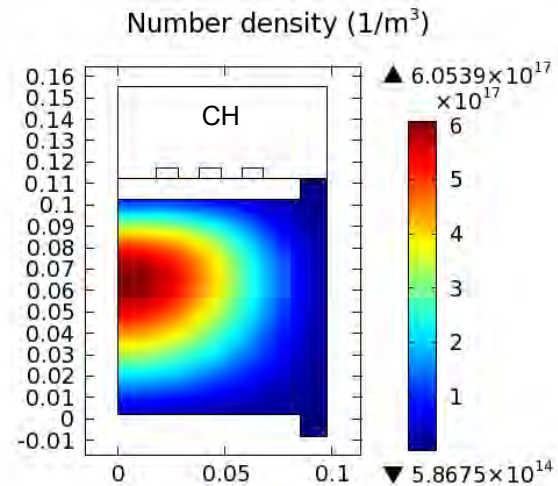
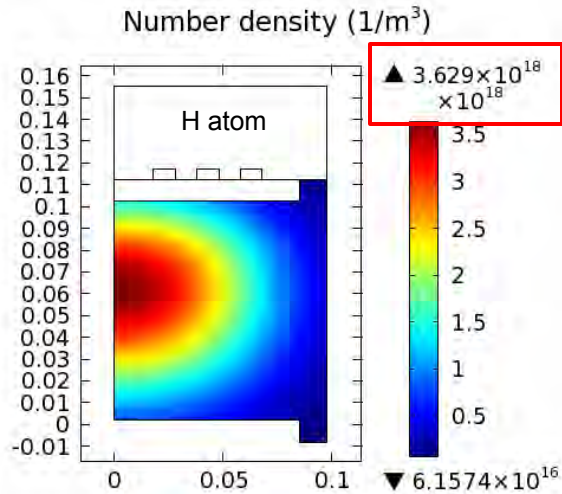


Number density ( $1/m^3$ )



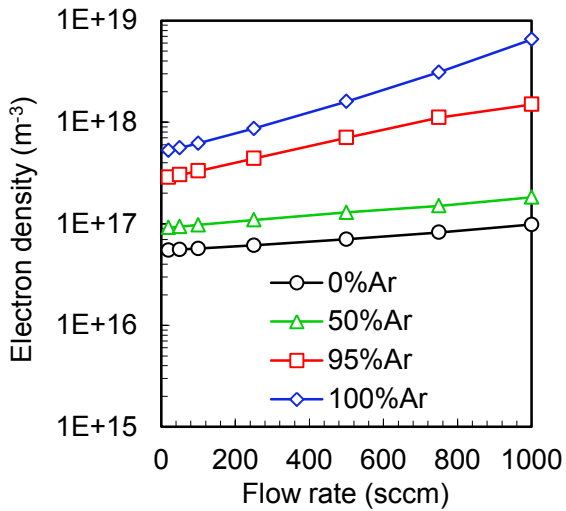
# Results (3)

## Radical number density

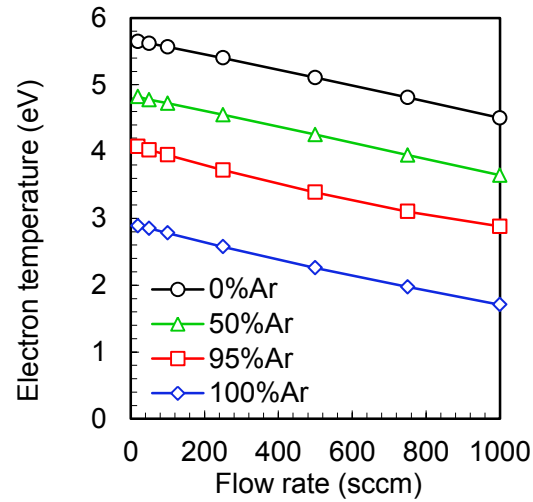


# Results (4)

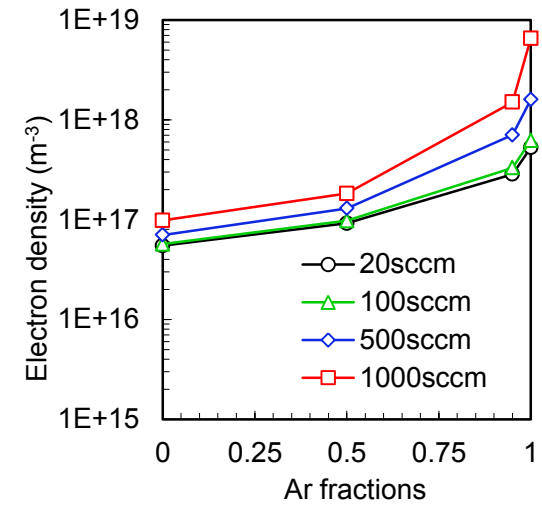
**Electron density**  
(Gas flow rate: 20-1000 sccm)



**Electron temperature**  
(Gas flow rate: 20-1000 sccm)

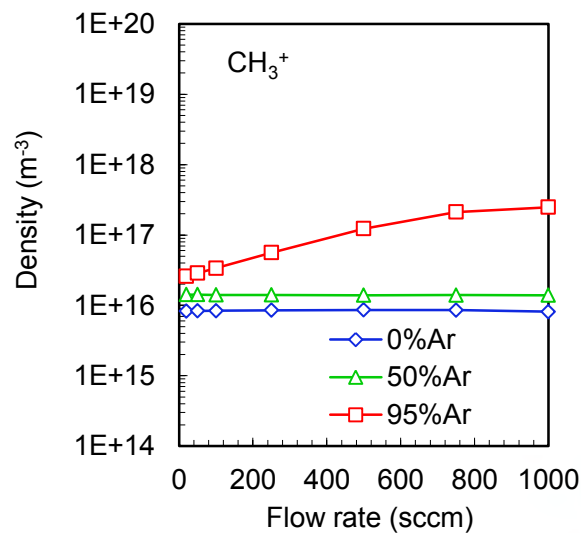
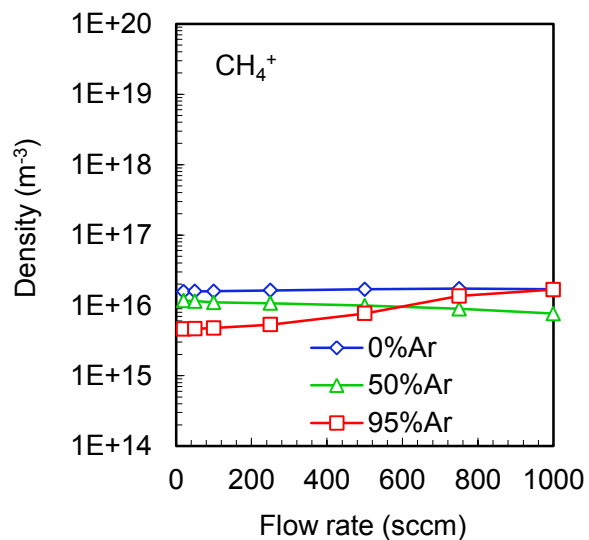
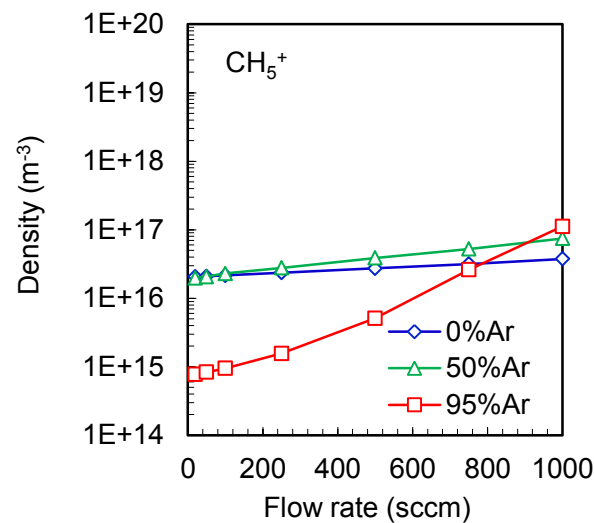
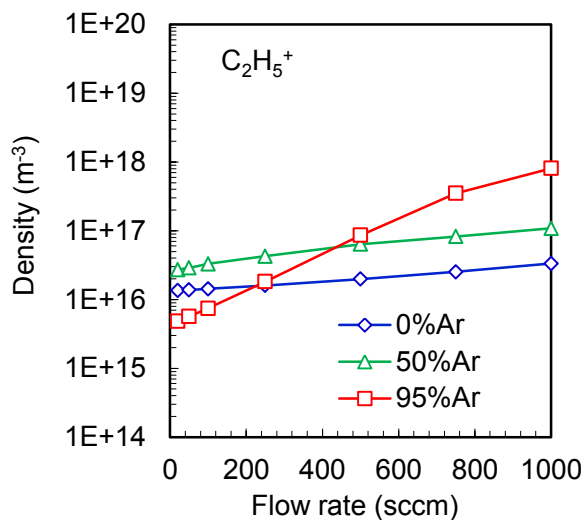
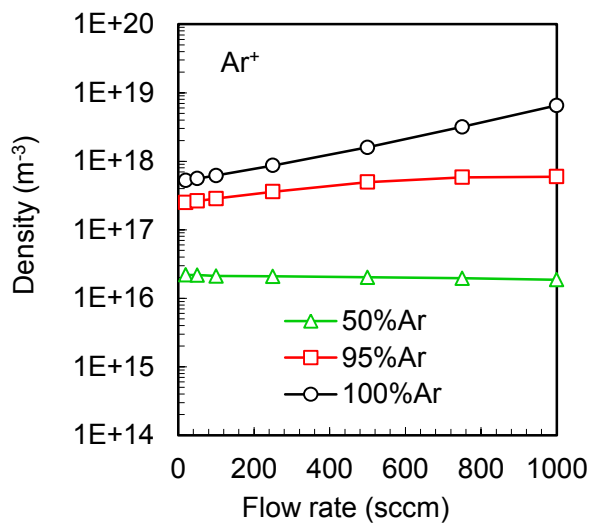


**Electron density**  
(Ar fractions: 0 -1)



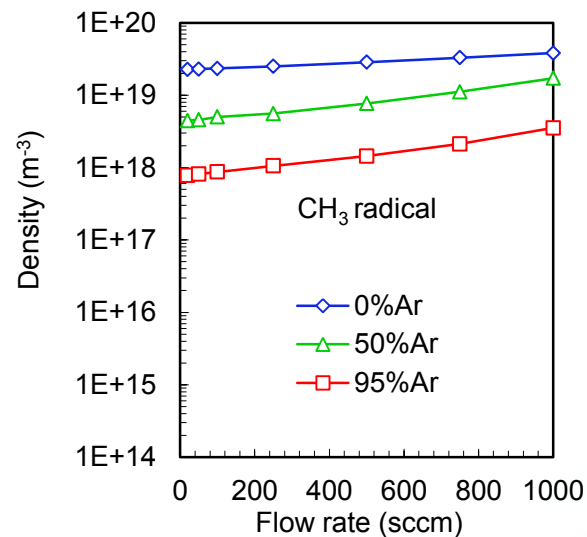
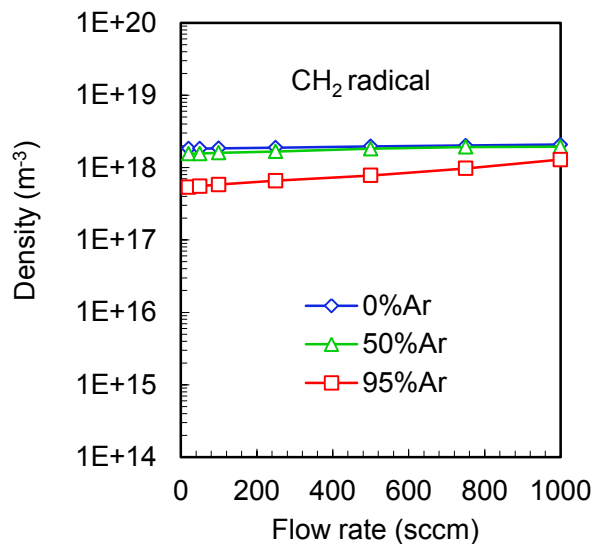
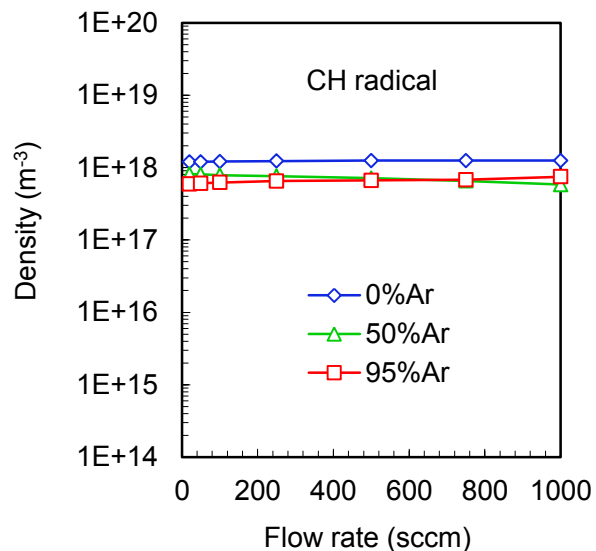
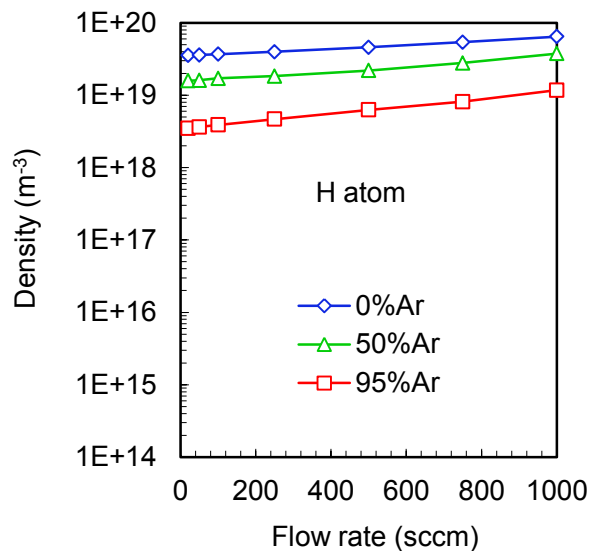
# Results (5)

## Ion number density



# Results (6)

## Radical number density



# Conclusions

- The simulations of low-pressure inductively coupled rf plasmas in Ar/CH<sub>4</sub> were performed by coupling plasma simulation with fluid dynamics calculation.
- It is found that the electron densities increased and electron temperatures decreased with a rise in gas flow rate for the different Ar fractions. The radicals CH<sub>3</sub>, CH<sub>2</sub>, CH, and H appeared the high densities over all the gas flow rates and different Ar fractions.
- The gas flows presented the largest influence on plasma properties at a small amount (5%mol) of CH<sub>4</sub> added to Ar.
  - From 20 to 1000 sccm, the densities of CH<sub>3</sub><sup>+</sup> ions increased one order and those of CH<sub>5</sub><sup>+</sup> and C<sub>2</sub>H<sub>5</sub><sup>+</sup> increased over two orders.
- The control of gas flow rate and gas composition would be very beneficial in obtaining the deposition of good quality thin films.
- It could be concluded that by using COMSOL Multiphysics, the simulations in actual plasma reactors could be realized by coupling with the calculations of CFD, heat transfer, electromagnetic field and etc..

**Thank you for your attention**

